

Docket No. 266122US0PCT

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

IN RE APPLICATION OF: Werner GAUWEILER, et al.

SERIAL NO: 10/525,232 ✓

GAU:

FILED: February 22, 2005

EXAMINER:

FOR: USE OF CROSSLINKED CATIONIC POLYMERS IN COSMETICS

**INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR 1.97**

COMMISSIONER FOR PATENTS  
ALEXANDRIA, VIRGINIA 22313

SIR:

Applicant(s) wish to disclose the following information.

**REFERENCES**

- ☒ The applicant(s) wish to make of record the references listed on the attached form PTO-1449. Copies of the listed references are attached, where required, as are either statements of relevancy or any readily available English translations of pertinent portions of any non-English language references.
- ☐ A check or credit card payment form is attached in the amount required under 37 CFR §1.17(p).

**RELATED CASES**

- ☒ Attached is a list of applicant's pending application(s), published application(s) or issued patent(s) which may be related to the present application. In accordance with the waiver of 37 CFR 1.98 dated September 21, 2004, copies of the cited pending applications are not provided. Cited published and/or issued patents, if any, are listed on the attached PTO form 1449.
- ☐ A check or credit card payment form is attached in the amount required under 37 CFR §1.17(p).

**CERTIFICATION**

- ☐ Each item of information contained in this information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement.
- ☐ No item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the undersigned, having made reasonable inquiry, was known to any individual designated in 37 CFR §1.56(c) more than three months prior to the filing of this statement.

**DEPOSIT ACCOUNT**

- ☒ Please charge any additional fees for the papers being filed herewith and for which no check or credit card payment is enclosed herewith, or credit any overpayment to deposit account number 15-0030. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

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Form PTO 1449 (Modified)		U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE		ATTY DOCKET NO. 266122US0PCT		SERIAL NO. 10/525,232	
LIST OF REFERENCES CITED BY APPLICANT				APPLICANT Werner GAUWEILER, et al.			
				FILING DATE February 22, 2005		GROUP	
<b>U.S. PATENT DOCUMENTS</b>							
EXAMINER INITIAL	AA	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE
	AA	2001/0016189	08/23/01	ZHONG et al.			
	AB	4 058 491	11/15/77	STECKLER			
	AC	4 348 380	09/07/82	JACQUET et al.			
	AD	4 806 345	02/21/89	BHATTACHARYYA			
	AE	4 859 756	08/22/89	GOERTZ et al.			
	AF	5 275 809	01/04/94	CHEN et al.			
	AG	6 019 904	02/01/00	WONG SHING et al.			
<b>FOREIGN PATENT DOCUMENTS</b>							
		DOCUMENT NUMBER	DATE	COUNTRY	TRANSLATION YES NO		
	AH	02/34796	05/02/02	WO (English abstract only)			NO
	AI	02/46275	06/13/02	WO (English abstract only & equivalent of CA 2427713)			NO
	AJ	01/85110	11/15/01	WO (English abstract only & equivalent of US 2003/0175233)			NO
	AK	197 31 907	01/28/99	DE			NO
	AL	1 064 924	01/03/01	EP			NO
	AM	0 893 117	01/27/99	EP			NO
	AN	98/31748	07/23/98	WO			NO
	AO	28 21 239	11/23/78	DE (equivalent of US 4348380)			NO
	AP	31 06 974	12/03/81	DE (equivalent of US 4402936)			NO
	AQ	32 09 224	09/15/83	DE (equivalent of US 4451582)			NO
	AR	42 13 971	11/04/93	DE (equivalent of US 6329483)			NO
	AS	0 246 580	11/25/87	EP (equivalent of US 4841066)			NO
	AT	0 521 665	01/07/93	EP			NO
	AU	0 521 666	01/07/93	EP			NO
	AV	0 522 755	01/13/93	EP			NO
	AW	0 544 158	06/02/93	EP			NO
	AX	0 671 157	09/13/95	EP			NO
	AY	0 715 843	06/12/96	EP (equivalent of US 5869032)			NO
	AZ	93/25595	12/23/93	WO			NO
	AAA	96/26229	08/29/96	WO (with English abstract & equivalent of US 5804662)			NO
	AAB	96/37525	11/28/96	WO (with English abstract & equivalent of US 5962613)			NO
	AAC	97/35544	10/02/97	WO			NO
	AAD	99/46207	09/16/99	WO			NO
	AAE	98/14490	04/09/98	WO			NO
	AAF	98/14405	04/09/98	WO			NO
Examiner					Date Considered		
*Examiner: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.							

U.S. PCT Application Serial No.: 10/525,232  
Docket No.: 266122US0PCT

#### STATEMENT OF RELEVANCY

- 1) References AA, AH-AN have been cited in the International Search Report. Copies of these references are being submitted herewith only when not automatically provided by the International Searching Authority.
- 2) References \_\_\_\_\_ have been cited in the corresponding \_\_\_\_\_ Search Report. A copy of these references is being submitted herewith.
- 3) References AB-AG, AO-AAF are discussed in the specification. A copy of these references is being submitted here with.
- 4) References \_\_\_\_\_ are additional prior art known to Applicant. A copy of these references is being submitted herewith.

**LIST OF RELATED CASES**

<u>Docket Number</u>	<u>Serial or Patent Number</u>	<u>Filing or Issue Date</u>	<u>Patent Appl. Publication No.</u>	<u>Inventor/ Applicant</u>
266122US0PCT*	10/525,232	02/22/05		GAUWEILER, et al.
PER CLIENT	09/115,555	UNKNOWN		UNKNOWN
PER CLIENT	09/604,001	UNKNOWN		UNKNOWN

\*Present Application; listed for information  
NFO/hah